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INFORMATION DISCLOSURE	Confirmation Number	Not Assigned		
STATEMENT BY APPLICANT	Filing Date	January 21, 2004		
,	First Named Inventor	Kunishige OE		
(use as many sheets as necessary)	Art Unit	Not Assigned		
	Examiner Name	Not Assigned		
Sheet I of I	Attorney Docket Number	Q79276		

			U.S.	PATENT DOCUM	ENTS
		Document	Number		
	Cite No. ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
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	FOREIGN PATENT DOCUMENTS									
	Cite	Fo	oreign Patent Docum	nent	Publication Date	Name of Patentee or	Translation*			
	No.	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document				
JCS		JP	2000-347043	Α	12-15-2000		Abstract			
JCS		JР	08-340422	Α	12-03-1996		Abstract			
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NON PATENT LITERATURE DOCUMENTS								
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶						
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	_	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,						

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Examiner Signature	and	Enden	Date Considered	1/4/	07
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-tetter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

MODIFIED PTO/SB/08 A & B (08-03) Complete if Known Substitute for Form 1449 A & B/PTO 10/760,389 Application Number INFORMATION DISCLOSURE MAGRADIA Confirmation Number 1311 January 21, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Kunishige OE Art Unit 1732 (use as many sheets as necessary) **Examiner Name** Not Assigned Q79276 of 1 Attorney Docket Number Sheet

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cita	Document	Number	Publication Date				
	Cite No. ¹	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
JCS		US 6,017,681	Α	1/25/2000	Tsukamoto et al.			
JCS		US 5,902,715	Α	5/11/1999	Tsukamoto et al.			
JCS		US 6,081,632	A	6/27/2000	Yoshimura et al.			
JCS		US 5,854,868	Α	12/29/1998	Yoshimura et al.			
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FOREIGN PATENT DOCUMENTS										
Cite	For	eign Patent Docu	ment	Publication Date	Name of Patentee or	Translation ⁶				
No.1	Country Code ³	Number ⁴	Kind Code ⁸ (if known)	MM-DD-YYYY	Applicant of Cited Document					
	Cite No.¹	No.1 Country	Cite Foreign Patent Docu	Cite No.1 Country Number Kind Code5	Cite No.1 Country Number Kind Code MM-DD-YYYY	Cite No. 1 Country Number 1 Kind Code 5 MM-DD-YYYY Applicant of Cited Document				

NON PATENT LITERATURE DOCUMENTS								
Examiner Cite Initials* No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published							
		Patent Abstracts of Japan (1980), Matsushita Electric Industries Co., Ltd., Vol. 0040, No. 78						
		European Search Report dated May 25, 2004						
			 					
			 					

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79276

Kunishige OE, et al.

Appln. No.: Not Assigned

Confirmation No.: Not Assigned Group Art Unit: Not Assigned

Filed: January 21, 2004 Examiner: Not Assigned

For: PROCESS OF MANUFACTURING OPTICAL WAVEGUIDE AND CONNECTION

STRUCTURE OF OPTICAL DEVICES

<u>INFORMATION DISCLOSURE STATEMENT</u> UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT New U.S. Application for OE et al. Q79276

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: the

references cited are discussed in the Specification beginning on Page 2, Line5.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

allohon Reg. No. 32,607

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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washington office 23373

application.

CUSTOMER NUMBER

Date: January 21, 2004

JFW)



PATENT APPLICATION

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79276

Kunishige OE, et al.

Appln. No.: 10/760,389

Group Art Unit: 1732

Confirmation No.: 1311

Examiner: Not Assigned

Filed: January 21, 2004

For:

PROCESS OF MANUFACTURING OPTICAL WAVEGUIDE AND CONNECTION

STRUCTURE OF OPTICAL DEVICES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/760,389

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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Respectfully submitted,

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Date: July 14, 2004

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